

Corrections:

- In the first lecture note, Definition I.2 for a convergence space should be that \mathcal{F} is closed under superfilter. Thus,

Definition I: (X, \mathcal{F}) is a *convergence space* iff X is a set and \mathcal{F} is a collection of *filters* such that:

1. For every $F \in \mathcal{F}$, $F \subseteq 2^X$.
 2. If $F \in \mathcal{F}$ and F' is a filter such that $F \subseteq F'$, then $F' \in \mathcal{F}$.
 3. For every $x \in X$, the set of all supersets of $\{x\}$ is a member of \mathcal{F} . ■
- In Lecture Note II the 4th line of the proof of Proposition 3, $y = B$ should be $y \in B$. That is,

$$\iff y \in B \quad \text{because } B \subseteq \hat{f}(X), (\Leftarrow) \text{ holds.}$$

Solutions to Exercises: In this note, we give solutions to the 8 exercises discussed in the class. We first recall the definition of \mathcal{F}_x which is a collection of filters in X such that,

(i) \mathcal{F}_x is closed under superfilter. That is

$$\text{if } F \in \mathcal{F} \text{ and } F' \text{ is a filter with } F \subseteq F', \text{ then } F' \in \mathcal{F}.$$

(ii) $\uparrow \{x\} \in \mathcal{F}_x$.

Ex 1 For every $x \in X$, $X \in \bigcap \mathcal{F}_x$.

Proof: Let $x \in X$. Since each $F \in \mathcal{F}_x$ is a filter which is closed under superset, it follows that $X \in F$. Therefore, X is a member of every filter in \mathcal{F}_x , and hence $X \in \bigcap \mathcal{F}_x$. □

Ex 2 For every $x \in X$, $\bigcap \mathcal{F}_x$ is a filter.

Proof: Following the definition of a filter, we need to prove that $\bigcap \mathcal{F}_x$ is not empty and is closed under (i) finite intersection and (ii) superset.

By Ex 1, $X \in \bigcap \mathcal{F}_x$. Thus, $\bigcap \mathcal{F}_x$ is not empty.

- For (i), let $A, B \in \bigcap \mathcal{F}_x$. Fix any $F \in \mathcal{F}_x$. It must be the case that $A \in F$ and $B \in F$. Since F is a filter, it follows that $A \cap B \in F$. Therefore, $A \cap B$ is a member of every $F \in \mathcal{F}_x$. Thus, $A \cap B \in \bigcap \mathcal{F}_x$.
- For (ii), let $A \in \bigcap \mathcal{F}_x$. Thus, $A \in F$ for every $F \in \mathcal{F}_x$. Let $A \subseteq B \subseteq X$. Since F is a filter, $B \in F$. Therefore, B is a member of every $F \in \mathcal{F}_x$. Thus, $B \in \bigcap \mathcal{F}_x$. □

Ex 3 For every $x \in X$, $\bigcap \mathcal{F}_x$ is the greatest lower bound of \mathcal{F}_x with respect to \subseteq .

Proof: We shall argue that (i) $\bigcap \mathcal{F}_x$ is a lower bound of \mathcal{F}_x and (ii) if A is a lower bound of \mathcal{F}_x , then $A \subseteq \bigcap \mathcal{F}_x$.

- For (i), fix any $F \in \mathcal{F}_x$. It is clear that $\bigcap \mathcal{F}_x \subseteq F$. Thus, $\bigcap \mathcal{F}_x$ is a lower bound of \mathcal{F}_x .
- For (ii), suppose that A is a lower bound of \mathcal{F}_x and $a \in A$. Since A is a lower bound of \mathcal{F}_x , $A \subseteq F$ for every $F \in \mathcal{F}_x$. Thus, if $a \in A$, then $a \in F$ for every $F \in \mathcal{F}_x$. Therefore, $a \in \bigcap \mathcal{F}_x$, and hence $A \subseteq \bigcap \mathcal{F}_x$. □

Here we formally define neighborhoods of an $x \in X$ and open sets in a topological space.

Definition 1 (Neighborhoods and Open sets) Let (X, \mathcal{F}) be a convergence space and let $U \subseteq X$.

- Let $x \in U$. We say that U is a **neighborhood** of x iff $U \in \bigcap \mathcal{F}_x$.
- We say that U is **open** iff U is a neighborhood of every $x \in U$. ■

Note: We now can consider $\bigcap \mathcal{F}_x$ as the collection of all neighborhoods of x .

Ex 4 The collection of all open subsets of X forms a topology.

Proof: Let \mathcal{T} be the collection of all open subsets of X . Recall the definition of a topology. We prove the following four requirements for \mathcal{T} being a topology in space X .

1. By definition \emptyset is open. Thus, $\emptyset \in \mathcal{T}$.
2. From Ex 1, for every $x \in X$, $X \in \bigcap \mathcal{F}_x$. Thus, for every $x \in X$, X is a neighborhood of x , and hence X is open. Therefore, $X \in \mathcal{T}$.

3. Let $A, B \in \mathcal{T}$, i.e., A and B are both open. We want to prove that $A \cap B$ is also open. That is, we want to prove that, for every $p \in A \cap B$, $(A \cap B) \in \bigcap \mathcal{F}_p$. Fix any $p \in A \cap B$. Since $p \in A$ and A is open, we have $A \in \bigcap \mathcal{F}_p$. Likewise, $p \in B$ and B is open, we have $B \in \bigcap \mathcal{F}_p$. By Ex 2, $\bigcap \mathcal{F}_p$ is a filter; namely, $\bigcap \mathcal{F}_p$ is closed under finite intersection. Therefore, $(A \cap B) \in \bigcap \mathcal{F}_p$. Since for every $p \in A \cap B$, we have $(A \cap B) \in \bigcap \mathcal{F}_p$, by definition, $A \cap B$ is open.
4. Let $\{U_\lambda | \lambda \in \Lambda\}$ be a nonempty collection of open sets. We shall prove that $\bigcup_{\lambda \in \Lambda} U_\lambda$ is open.¹ Fix any $p \in \bigcup_{\lambda \in \Lambda} U_\lambda$. Suppose that $p \in U_{\lambda'}$ for some $\lambda' \in \Lambda$. Since $U_{\lambda'}$ is open, it follows that $U_{\lambda'} \in \bigcap \mathcal{F}_p$. Since $\bigcap \mathcal{F}_p$ is a filter and $U_{\lambda'} \subseteq \bigcup_{\lambda \in \Lambda} U_\lambda$, we have $\bigcup_{\lambda \in \Lambda} U_\lambda \in \mathcal{F}_p$. Therefore, $\bigcup_{\lambda \in \Lambda} U_\lambda$ is open. \square

Ex 5 *There is a topological space in which not every neighborhood of some points is open.*

Sketch of Proof: Consider \mathbb{R} the set of real numbers. For every $a, b \in \mathbb{R}$, let (a, b) denote an open set such that,

$$(a, b) = \{x \in \mathbb{R} \mid a < x < b\}. \quad (1)$$

We say that $A \subseteq \mathbb{R}$ is a *neighborhood* of $p \in \mathbb{R}$ if there is an open interval $(a, b) \subseteq A$ with $p \in (a, b)$. Also, let

$$[a, b] = \{x \in \mathbb{R} \mid a \leq x \leq b\}.$$

Consider the example $[0, 1]$ and the point 0.5. Clearly, $[0, 1]$ is a neighborhood of 0.5 because 0.5 is a point in an open set, e.g., $(0.3, 0.7)$, and $(0.3, 0.7) \subseteq [0, 1]$. However, $[0, 1]$ is not a neighborhood of 0 and 1. This is because no open set that contains 0 or 1 can be a subset set of $[0, 1]$. Thus, $[0, 1]$ is not open in this topology defined by the open sets in form of (1).² \square

Definition for Induced Topology and Ex 6 and Ex 7 will be prepared in Lecture Note IV

Ex 8 *Let $\Gamma : 2^X \rightarrow 2^X$ be defined with the following properties:*

1. $\Gamma(X) = X$.

¹Note that, we require a topology to be closed under *arbitrary* union but not *finite* union. Thus, simply assume that U and V are open and prove that $U \cup V$ is open is not enough. On the other hand, we can prove that *arbitrary* intersection does not preserve open sets.

²In fact, open sets and neighborhoods are two equivalent approaches to define a topology. In our example, we define a topology space by giving the basic open sets in form of (1).

2. $\Gamma(A) \subseteq A$, for all $A \subseteq X$.
3. $\Gamma(\Gamma(A)) = \Gamma(A)$, for all $A \subseteq X$.
4. $\Gamma(A \cap B) = \Gamma(A) \cap \Gamma(B)$, for all $A, B \subseteq X$.

Let $A \subseteq X$. We say that A is a fixed points of Γ iff $\Gamma(A) = A$. Prove that

1. \emptyset is a fixed point of Γ .
2. If U and V are fixed points of Γ , then so is $U \cap V$.
3. If $\{U_\lambda | \lambda \in \Lambda\}$ is a collection of fixed points of Γ , then $\bigcup_{\lambda \in \Lambda} U_\lambda$ is also a fixed point of Γ .

Proof:

1. By the second property of Γ , $\Gamma(\emptyset) \subseteq \emptyset$. Thus, $\Gamma(\emptyset) = \emptyset$
2. Let $\Gamma(U) = U$ and $\Gamma(V) = V$. We have

$$\Gamma(U \cap V) = \Gamma(U) \cap \Gamma(V) = U \cap V.$$

3. Let $\{U_\lambda | \lambda \in \Lambda\}$ be a collection of fixed points of Γ . We first prove that

$$A \subseteq B \implies \Gamma(A) \subseteq \Gamma(B) \tag{2}$$

Let $A \subseteq B$. We have $A = A \cap B$. Thus,

$$\Gamma(A) = \Gamma(A \cap B) = \Gamma(A) \cap \Gamma(B) \subseteq \Gamma(B).$$

Fix any $\lambda' \in \Lambda$. We have $U_{\lambda'} \subseteq \bigcup_{\lambda \in \Lambda} U_\lambda$. By (2), we have

$$\begin{aligned} \Gamma(U_{\lambda'}) &\subseteq \Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right) \\ U_{\lambda'} &\subseteq \Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right) \quad \text{because } U_{\lambda'} \text{ is a fixed point.} \end{aligned}$$

Since λ' is any member in Λ , it follows that,

$$\bigcup_{\lambda \in \Lambda} U_\lambda \subseteq \Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right). \tag{3}$$

Also, by the second property of Γ ,

$$\Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right) \subseteq \bigcup_{\lambda \in \Lambda} U_\lambda. \tag{4}$$

(3) and (4) imply that,

$$\Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right) = \bigcup_{\lambda \in \Lambda} U_\lambda.$$

Therefore, $\bigcup_{\lambda \in \Lambda} U_\lambda$ is a fixed point of Γ . \square

Alternative proof: Fix any $\lambda' \in \Lambda$. We have $U_{\lambda'} = U_{\lambda'} \cap \left(\bigcup_{\lambda \in \Lambda} U_\lambda\right)$. Thus,

$$\Gamma(U_{\lambda'}) = \Gamma\left(U_{\lambda'} \cap \left(\bigcup_{\lambda \in \Lambda} U_\lambda\right)\right) = \Gamma(U_{\lambda'}) \cap \Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right).$$

$$U_{\lambda'} = U_{\lambda'} \cap \Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right). \quad (5)$$

(5) implies that

$$U_{\lambda'} \subseteq \Gamma\left(\bigcup_{\lambda \in \Lambda} U_\lambda\right).$$

Then, we follow the same arguments in the previous proof to finish. \square